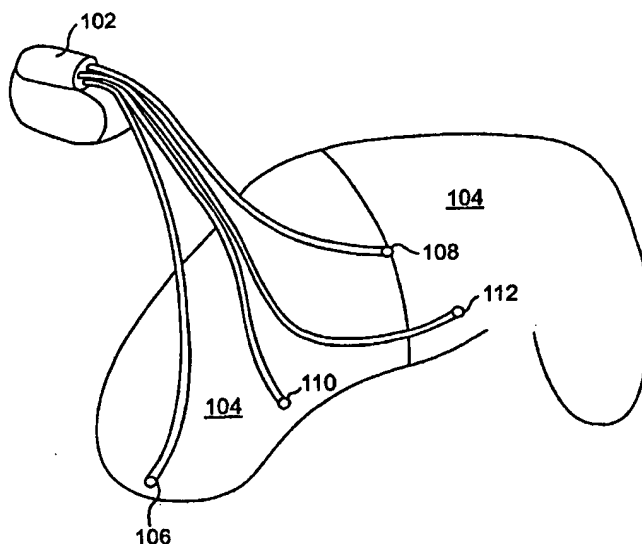




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US00/00777 <b>(22) International Filing Date:</b> 11 January 2000 (11.01.00)  <b>(30) Priority Data:</b> 09/228,262      11 January 1999 (11.01.99)      US  <b>(71) Applicant (for all designated States except US):</b> THE MOWER FAMILY CHF TREATMENT IRREVOCABLE TRUST [US/US]; Suite 501, Two East Fayette Street, Baltimore, MD 21202 (US).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> MOWER, M., D., Morton, M. [-/US]; 3908 North Charles Street #1001, Baltimore, MD 21218 (US).  <b>(74) Agent:</b> ROBERTS, Jon, L.; Roberts Abokhair & Mardula, LLC, Suite 1000, 11800 Sunrise Valley Drive, Reston, VA 20191-5302 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

**(54) Title:** ATRIAL SENSING AND MULTIPLE SITE STIMULATION AS INTERVENTION FOR ATRIAL FIBRILLATION

**(57) Abstract**

Atrial sensing and stimulation as intervention for atrial fibrillation. The present invention relates to a method of atrial defibrillation. In a variety of protocols varying combinations of conventional and biphasic stimulation are applied at threshold and subthreshold levels. In a preferred embodiment, the implantable electronic stimulation device of the present invention includes multiple electrodes having stimulating and sensing capabilities. The small size of these electrodes allows for intravenous insertion into the patient.

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**Title:**           **ATRIAL SENSING AND MULTIPLE SITE STIMULATION AS  
INTERVENTION FOR ATRIAL FIBRILLATION**

**Inventor:**     Morton M. Mower, M.D.

### **Field of the Invention**

1           The present invention relates generally to electronic stimulation devices to control  
2           the beating of hearts, especially hearts with pathologies that interfere with normal  
3           rhythmicity, electrical conduction, and/or contractility. In particular, the present invention  
4           relates to pacemakers used to overcome atrial fibrillation by use of 1) atrial sensing; 2)  
5           electrical test stimulation of the atria; and 3) multiple site stimulation in which the various  
6           atrial areas are slowly entrained to a common beating rate to produce electrical/functional  
7           conformity, i.e., cardioversion, with each case either eventuating in spontaneous reversion  
8           to a normal atrial rhythm, or reduced energy requirement for reversion by electrical  
9           countershock.

### **Background of the Invention**

11           Morbidity associated with malfunctions of the atria, while not immediate, is high.  
12           Atrial malfunctions of rhythmicity (e.g., atrial fibrillation, various atrial arrhythmias, A-V  
13           block and other conduction abnormalities, etc.) can contribute to thrombosis, emboli,  
14           stroke and/or heart failure, each of which can place a patient in significant peril.

15           *Atrial Sensing.* A variety of approaches have been developed which use  
16           pacemakers to counter atrial malfunctions of rhythmicity, as well as attendant effects on  
17           ventricular function. In addition, sophisticated approaches have been developed for  
18           pacemaker systems to determine the nature of any particular ventricular malfunction, and  
19           whether a malfunction originates in the atria or in the ventricles. One such approach uses  
20           ventricular sensing to measure/determine the probability density function (pdf) on a  
21           moment-to-moment basis. For example, U.S. Patent No. 5,163,429 to Cohen discloses the

1 use of narrow window pdf data as but one criterion among several for assessing ventricular  
2 cardiac function. The use of pdf data to determine ventricular fibrillation also is disclosed  
3 in *Implantable Cardioverter-Defibrillators* (N.A. Estes III, A. Manolis & P. Wang, ed.).  
4 U.S. Patent No. 5,421,830 to Epstein, et al. (discussed further below) also discloses the use  
5 of pdf data as one set among a variety of data types that collectively are also used to assess  
6 cardiac function. The use of probability density function data for assessing atrial cardiac  
7 function has not been disclosed and presents its own unique difficulties as will be further  
8 discussed.

9 *Electrical Test Stimulation of Atria.* In a few limited cases, pacemaker protocols  
10 have been employed in which electrical test stimuli are applied to the atria, and the  
11 physiological responses thereto are monitored to aid in the determination of the best or  
12 most appropriate protocol to initiate, cure, or ameliorate the existing cardiac malfunction.  
13 For example, U.S. Patent No. 5,620,471 to Duncan discloses three basic protocols for  
14 determining whether observed ventricular irregularities are actually caused by atrial  
15 arrhythmias. One protocol includes atrial electrical test stimulation, and all three protocols  
16 monitor both atrial and ventricular rhythms for three parameters: rates of atrial and  
17 ventricular firing, stability of firing/beating in atria and ventricles, and whether or not  
18 ventricular firing tracks atrial firing. In the first protocol, when the ventricular firing rate  
19 is less than the atrial firing rate (indicating no ventricular tracking of atrial beats), and  
20 firing rates are stable, then ventricular tachycardia is presumed, and ventricular stimulation  
21 is applied. On the other hand (second protocol), if the ventricular firing rate is not stable,  
22 then atrial arrhythmia is presumed, and atrial stimulation is applied. The third protocol is  
23 based on the fact that, when the ventricular firing rate equals the atrial firing rate, there

1 may or may not be ventricular tracking of atrial firing. Whether or not there is ventricular  
2 tracking is determined by the presence or not of ventricular tracking following premature  
3 atrial stimulation by the pacemaker. If there is ventricular tracking of atrial firing, the  
4 arrhythmic mechanism is presumed to be atrial tachycardia. However, if there is no  
5 ventricular tracking of atrial firing, then ventricular tachycardia is presumed, and  
6 ventricular stimulation is performed.

7 U.S. Patent No. 5,421,830 to Epstein, et al. discloses a general means for  
8 recording, testing, and analyzing cardiac function based on data from -- and electrical test  
9 stimulation via -- a patient's pacemaker, as well as data from additional sensors detecting  
10 hemodynamic or other body functions. Total intracardiac electrograms (reflecting both  
11 atrial and ventricular functional status) or just selected data (e.g., P-P or R-R intervals,  
12 heart rate, arrhythmia duration, slew rate, probability density function, etc.) may be  
13 recorded and analyzed. The patient's atrial and ventricular responses to electrical test  
14 pulses may also be recorded. In sum, this system provides a means to more easily tailor  
15 settings for pacemakers to achieve optimal settings for the specific patient or for the  
16 specific situation (e.g., during exercise or exertion) of a given patient.

17 U.S. Patent No. 5,215,083 to Drane, et al. also discloses the use of electrical test  
18 stimulation to aid in the fine tuning and evaluation of different possible stimulation  
19 protocols for a patient's heart. In particular, electrical test pulses are employed to induce  
20 ventricular fibrillation or tachycardia for use in evaluating the effectiveness of alternative  
21 programmed therapies.

22 *Multiple Site Atrial Stimulation.* The use of multiple site atrial stimulation has  
23 been disclosed for various purposes, such as defibrillation, cardioversion, pacing, and dc

1 field production. One example is provided by U.S. Patent No. 5,562,708 to Combs, et al.,  
2 which discloses the employment of large surface electrodes (each effectively comprising  
3 multiple electrodes) that are implanted to one or both atria for providing extended, low  
4 energy electrical impulses. The electrical impulses are applied simultaneously at multiple  
5 sites over atrial surfaces, and atrial fibrillation is interrupted by gradually entraining  
6 greater portions of atrial tissue. These pacemaker electrodes may be used for various  
7 purposes in addition to pacing, such as conventional defibrillation and cardioversion.

8 U.S. Patent No. 5,649,966 to Noren, et al. discloses the use of multiple electrodes  
9 for the purpose of applying a subthreshold dc field to overcome fibrillation. The rate of  
10 application of the dc field is sufficiently low so that no action potential is triggered.  
11 Polarity may also be changed periodically. In one embodiment, four electrodes are  
12 positioned within a single plane in the heart, which permits a dipole field in virtually any  
13 direction within that plane.

14 U.S. Patent No. 5,411,547 to Causey, III discloses the use of sets of complex mesh  
15 patch electrodes, in which each electrode comprises an anode patch and a cathode patch,  
16 for purposes of cardioversion-defibrillation. Bidirectional cardiac shocking is permitted  
17 by these electrodes.

18 U.S. Patent No. 5,391,185 to Kroll discloses the use of multiple electrodes to effect  
19 atrial defibrillation. The possibility of inducing ventricular fibrillation during the course of  
20 atrial defibrillation is greatly reduced by synchronizing the atrial stimulation to fall within  
21 the QRS phase of the ventricular cycle.

22 U.S. Patent No. 5,181,511 to Nickolls, et al. discloses the use of multiple  
23 electrodes in antitachycardia pacing therapy. The electrodes not only each serve an

1       electrical sensing role (to locate the site of an ectopic focus), but also function in concert to  
2       create a virtual electrode for stimulating at the site of an ectopic focus.

3               *Existing Needs.* In the area of atrial malfunctions of rhythmicity what is needed is  
4       a means to entrain multiple atrial sites, but also in combination with an atrial sensing/  
5       measurement capability that is coupled with atrial test stimulation and analysis capability.  
6       Atrial test stimulation and analysis capability is needed to provide better determination of  
7       the nature of the malfunction and the most probable or efficacious corrective therapy to  
8       undertake. Furthermore, the use of atrial test stimulation is critically needed for the  
9       fundamental reason that the physician cannot know *a priori* how a given heart (or a given  
10      heart under a particular medical or pathological condition) will respond to a selected  
11      stimulation regime, even if that selected stimulation regime would work generally for  
12      other cardiac patients. Thus, a trial-and-error testing capability needs to be available for  
13      pacemakers whose traditional stimulation regimes do not work for the occasional  
14      refractory patient. The multiple site stimulation capability is needed in order to more  
15      quickly and efficiently cardioconvert the atria in the face of arrhythmia, fibrillation, etc.  
16      Atrial sensing and use of measurement data are needed to better provide the physician  
17      and/or the circuit logic of the pacemaker with information as to the physiological state of  
18      the heart; i.e., whether there is atrial arrhythmia or fibrillation, where an ectopic focus is  
19      located, etc. Thus, what is needed is a pacemaker that combines all three of these  
20      elements: atrial sensing and measurement capability, atrial electrical test stimulation and  
21      analysis capability, and multiple site stimulation capability.

22              Lastly, a need also exists for a stimulation protocol which can travel more quickly  
23      across the myocardium and which provides improved cardiac entrainment along with the

1 ability to entrain portions of the heart from a greater distance.

## 2 **Summary of the Invention**

3 It therefore is an object of the present invention to provide a pacemaker that is  
4 capable of pacing atria from multiple sites.

5 It is another object of the present invention to provide a pacemaker that is capable  
6 of slowly entraining atria by stimulating the atria at multiple sites to produce electrical and  
7 functional conformity of the atria, with resulting increased pumping efficiency of the heart.

8 It is yet another object of the present invention to provide a pacemaker that is  
9 capable of detecting the presence of atrial fibrillation and atrial arrhythmias by stimulating  
10 the atria and observing and measuring the consequent effects on atrial and ventricular  
11 function.

12 It is a further object of the present invention to provide a pacemaker that is capable  
13 of obtaining and analyzing probability density function data from atria in order to  
14 determine atrial rates of beating and to assess atrial physiological function.

15 It is a further object of the present invention to provide an electronic stimulation  
16 device, for stimulating the atria from multiple sites, where the electrodes of the electronic  
17 stimulation device can be inserted intravenously.

18 It is a further object of the present invention to provide an electronic stimulation  
19 device, for stimulating the atria from multiple sites, where each electrode of the device has  
20 an independent generator.

21 It is a further object of the present invention to provide an electronic stimulation  
22 device for stimulating the atria from multiple sites, where each site is entrained separately  
23 and quickly brought to the same phase.



1           It is a further object of the present invention to provide an electronic stimulation  
2 device for stimulating the atria from multiple sites, to sequence the sites to mimic a normal  
3 heart beat.

4           It is a further object of the present invention to determine cardiac capture by  
5 monitoring cardiac activity and noting when the baseline of such activity is off zero.

6           It is a further object of the present invention to decrease threshold rises due to a  
7 build up of fibrous tissue.

8           The present invention accomplishes the above objectives by providing a cardiac  
9 pacemaker with a unique constellation of features and capabilities. In particular, a means  
10 for entraining multiple atrial sites is provided by the use of multiple electrodes. The  
11 multiple electrodes not only permit multi-site stimulation capability, but also multi-site  
12 sensing (including pdf measurement) capability, which, by triangulation, essentially  
13 provides the ability to determine the site(s) of any atrial ectopic focus. The multi-site  
14 stimulation capability inherently provides a system poised for more efficient entrainment  
15 and/or cardioconversion of the atria in the face of arrhythmia, fibrillation, etc. Combined  
16 with this multi-site stimulation/sensing capability is the means to execute trial-and-error  
17 testing and analysis to determine the best general stimulation protocol, to fine tune a given  
18 protocol, or to adjust a protocol in response to changes in the physiological/pathological  
19 status of the patient in general and/or the patient's heart in particular.

20           Incorporating the use of biphasic stimulation with the present invention provides  
21 the additional benefits of reducing cardiac inflammation damage, reducing or eliminating  
22 threshold rises due to the buildup of fibrous tissue and extending battery life of the  
23 electrodes.

1           In addition, the ability to conduct trial-and-error testing, including the analysis of  
2           the data derived therefrom, permits more thorough and more definitive determination of  
3           the physiological status of the heart; this determination can practically approach a  
4           moment-to-moment basis when analysis is automated by appropriate software for the  
5           purpose.

6           In sum, the present invention provides a cardiac pacemaker that has greater  
7           functional capabilities for the patient's atria than current technologies allow. The greater  
8           atrial "coverage" from the strategic placement of multiple electrodes permits faster  
9           correction of atrial arrhythmia, fibrillation, etc. Similarly, the use of multi-site electrodes  
10          permits more accurate sensing, including the capability of locating the site(s) of any atrial  
11          ectopic focus so as to better apply corrective stimulation procedures. In addition, the  
12          ability to apply trial-and-error testing/analytical procedures permits quicker analysis and  
13          correction of malfunctions of electrical conduction, cardiac contractility, rhythmicity, etc.  
14          Thus, the present invention constitutes an advance in cardiac care procedures as they relate  
15          to atrial pacemakers. The end result for the patient is better treatment, and, hence, a better  
16          prognosis from the better and faster treatment.

17          The method and apparatus relating to biphasic pacing comprises a first and second  
18          stimulation phase, with each stimulation phase having a polarity, amplitude, shape, and  
19          duration. In a preferred embodiment, the first and second phases have differing polarities.  
20          In one alternative embodiment, the two phases are of differing amplitude. In a second  
21          alternative embodiment, the two phases are of differing duration. In a third alternative  
22          embodiment, the first phase is in a chopped wave form. In a fourth alternative  
23          embodiment, the amplitude of the first phase is ramped. In a fifth alternative embodiment

1 the first phase is administered over 200 milliseconds after completion of a cardiac  
2 beating/pumping cycle. In a preferred alternative embodiment, the first phase of  
3 stimulation is an anodal pulse at maximum subthreshold amplitude for a long duration,  
4 and the second phase of stimulation is a cathodal pulse of short duration and high  
5 amplitude. It is noted that the aforementioned alternative embodiments can be combined  
6 in differing fashions. It is also noted that these alternative embodiments are intended to be  
7 presented by way of example only, and are not limiting.

8         Enhanced myocardial function is obtained through the biphasic stimulation of the  
9 present invention. The combination of cathodal with anodal pulses of either a stimulating  
10 or conditioning nature, preserves the improved conduction and contractility of anodal  
11 stimulation while eliminating the drawback of increased stimulation threshold. The result  
12 is a depolarization wave of increased propagation speed. This increased propagation speed  
13 results in increased synchronization and reduced heterogeneity of myocardial  
14 depolarization resulting in superior blood flow and contraction. Improved stimulation at a  
15 lower voltage level also results in: 1/ reduction in scar tissue buildup thereby reducing the  
16 tendency of the capture threshold to rise; 2/ reduction in power consumption leading to  
17 increased life for pacemaker batteries; and 3/ decreased potential for patient discomfort  
18 due to stimulation of the phrenic or diaphragmatic plexus or due to intercostal muscle  
19 pacing.

#### 20                   **Brief Description of the Drawings**

21             Figure 1 illustrates the location of leads and electrodes in relation to a human heart.

22             Figure 2 illustrates an alternative location of leads and electrodes in relation to a  
23 human heart.

1           Figure 2A illustrates a block diagram of the major functional components of the  
2 implanted pacemaker.

3           Figure 3 is a schematic representation of leading anodal biphasic stimulation.

4           Figure 4 is a schematic representation of leading cathodal biphasic stimulation.

5           Figure 5 is a schematic representation of leading anodal stimulation of low level  
6 and long duration, followed by conventional cathodal stimulation.

7           Figure 6 is a schematic representation of leading anodal stimulation of ramped low  
8 level and long duration, followed by conventional cathodal stimulation.

9           Figure 7 is a schematic representation of leading anodal stimulation of low level  
10 and short duration, administered in series followed by conventional cathodal stimulation.

11           Figure 8 illustrates the practice of the present invention.

### 12                           **Description of the Preferred Embodiments**

13           Electrical stimulation is delivered via lead(s) or electrode(s). These leads can be  
14 epicardial (external surface of the heart) or endocardial (internal surface of the heart) or  
15 any combination of epicardial and endocardial. Leads are well known to those skilled in  
16 the art. Lead systems can be unipolar or bipolar. A unipolar lead has one electrode on the  
17 lead itself, the cathode. Current flows from the cathode, stimulates the heart, and returns  
18 to the anode on the casing of the pulse generator to complete the circuit. A bipolar lead  
19 has two poles on the lead a short distance from each other at the distal end, and both  
20 electrodes lie within the heart.

21           **Figure 1** illustrates a plan view of implantable electronic stimulation device **102**  
22 and its associated lead and electrode system, in conjunction with human heart **104**. As  
23 illustrated, the device includes right atrial appendage lead **106**, right atrial septal lead **108**,

1 first coronary sinus lead 110 and second coronary sinus lead 112. Each of these multiple  
2 small electrodes can be inserted intravenously and includes an independent generator.

3 **Figure 2** illustrates a plan view of implantable electronic stimulation device 102  
4 illustrating an alternative location of leads and electrodes in relation to human heart 104.  
5 As illustrated, the device includes right atrial appendage lead 106, right atrial septal lead  
6 108, first coronary sinus lead 110, second coronary sinus lead 112 and left free wall lead  
7 204. Each of these multiple small electrodes can be inserted intravenously and includes an  
8 independent generator. Because of the use of independent generators, each electrode can  
9 be timed differently. In a preferred embodiment, left free wall lead 204 is placed by  
10 piercing septum 206 and passing left free wall lead 204 through the septum to the left side  
11 of the heart. The aforementioned placement of leads is for illustration purposes only, and  
12 is not intended as a limitation. It is contemplated that multiple leads placed in a variety of  
13 locations could be used.

14 Each site (area of lead placement) can be entrained separately, and then brought to  
15 the same phase. In a preferred embodiment each site is gradually brought to the same  
16 phase; however, certain situations could require that each site is quickly brought to the  
17 same phase. In an alternative embodiment, the sites can be sequenced to mimic a normal  
18 heart beat. In addition to allowing multi-site stimulation capability, the sensing circuits of  
19 each electrode also allow for multi-site sensing. Through triangulation the multi-site  
20 sensing provides a means for determining the site(s) of any atrial ectopic focus.

21 Referring to **Figure 2A**, a block diagram shows the major functional components  
22 of the implanted pacemaker 102. Pacing/control circuitry 500, in conjunction with  
23 microprocessor 501 detects the occurrence of tachycardia (and/or bradycardia) and in

1 response thereto controls the delivery of the various pacing therapies available via control  
2 bus 512. The microprocessor 501 also detects the occurrence of atrial fibrillation.  
3 Detection of atrial fibrillation may be accomplished by the microprocessor 501 using any  
4 of the various detection methodologies known to the art. Generally, atrial fibrillation may  
5 be detected in response to an extended series of high rate atrial depolarizations. If greater  
6 specificity for atrial fibrillation is desired, analysis of regularity of rate waveform  
7 morphology may also be employed. Termination of atrial fibrillation may be detected in  
8 response to a decrease in the rate of atrial depolarizations and/or an increase in their  
9 regularity.

10 The operation of the microprocessor 501 is controlled by programming stored in a  
11 read only memory 505 and in a random access memory 503. The operation of the device  
12 may be altered by the physician by altering the programming stored in the memory 503,  
13 using control and telemetry circuitry conventional in implantable stimulators.  
14 Communication to and from the microprocessor 501, the memories 503, 505, and the  
15 control logic 500 is accomplished using an address/data bus 507.

16 The atrial sensing circuit 509 can be any conventional cardiac sense amplifier  
17 circuits equivalent to any atrial cardiac sensing circuits employed in previous devices  
18 known in the art.

19 The implanted pacemaker 102 has a switch matrix 516 that allows selective  
20 delivery of pacing pulses from the atrial pacing driver 514 to the electrodes. The matrix  
21 516 may be embodied as simply a collection of one or more FET and/or SCR switches  
22 activated under control of the pacing/control circuitry 500 to selectively pacing circuitry  
23 516 to electrodes 106 and 108, or to electrodes 110 and 112, or other combinations of the  
24 electrodes. Thus, atrial anti-tachycardia (or anti-bradycardia pacing) is performed using  
25 any combination of the deployed pacing electrodes.

1 In a preferred embodiment, stimulation is administered at threshold until capture  
2 has occurred, at which time stimulation is administered at a subthreshold level. In  
3 alternative embodiments, stimulation is: (1) initiated at threshold and remains at threshold;  
4 (2) initiated subthreshold and remains subthreshold; (3) conventional prior to capture and  
5 then biphasic; (4) biphasic prior to capture and then conventional or (5) biphasic  
6 throughout.

7 Threshold refers to the minimum voltage level (or pulse width using a fixed  
8 voltage) which succeeds in stimulating (capturing) the myocardium. To capture is to  
9 produce a driven beat because of the stimulus given. Thus, in the absence of the pulse, the  
10 beat would not have been produced. Pulses which do not capture are subthreshold, (even  
11 though they may be shown to perturb the membrane potential somewhat, and transiently).  
12 Subthreshold pulses thus may affect subsequent conduction, but not by the mechanism of  
13 initiating a driven beat. Generally, to determine threshold, voltage (or pulse width) is  
14 varied (upward or downward) until capture is gained or lost.

15 Conventional stimulation is well known to those skilled in the art and comprises  
16 monophasic waveforms (cathodal or anodal) as well as multiphasic waveforms wherein  
17 the nonstimulating pulses are of a minimal magnitude and are used, for example, to  
18 dissipate a residual charge on an electrode.

19 **Figures 3 through 7** depict a range of biphasic stimulation protocols. These  
20 protocols have been disclosed in United States Patent Application No. 08/699,552 to  
21 Mower, which is herein incorporated by reference in its entirety.

22 **Figure 3** depicts biphasic electrical stimulation wherein a first stimulation phase  
23 comprising anodal stimulus **302** is administered having amplitude **304** and duration **306**.

1 This first stimulation phase is immediately followed by a second stimulation phase  
2 comprising cathodal stimulation 308 of equal intensity and duration.

3 **Figure 4** depicts biphasic electrical stimulation wherein a first stimulation phase  
4 comprising cathodal stimulation 402 having amplitude 404 and duration 406 is  
5 administered. This first stimulation phase is immediately followed by a second  
6 stimulation phase comprising anodal stimulation 408 of equal intensity and duration.

7 **Figure 5** depicts a preferred embodiment of biphasic stimulation wherein a first  
8 stimulation phase, comprising low level, long duration anodal stimulation 502 having  
9 amplitude 504 and duration 506, is administered. This first stimulation phase is  
10 immediately followed by a second stimulation phase comprising cathodal stimulation 508  
11 of conventional intensity and duration. In differing alternative embodiments, anodal  
12 stimulation 502 is: 1) at maximum subthreshold amplitude; 2) less than three volts; 3) of a  
13 duration of approximately two to eight milliseconds; and/or 4) administered over 200  
14 milliseconds post heart beat. Maximum subthreshold amplitude is defined for purposes of  
15 this application as the maximum stimulation amplitude that can be administered without  
16 eliciting a contraction. In a preferred embodiment, anodal stimulation is approximately  
17 two volts for approximately three milliseconds duration. In differing alternative  
18 embodiments, cathodal stimulation 508 is: 1) of a short duration; 2) approximately 0.3 to  
19 1.5 milliseconds; 3) of a high amplitude; 4) in the approximate range of three to twenty  
20 volts; and/or 5) of a duration less than 0.3 millisecond and at a voltage greater than twenty  
21 volts. In a preferred embodiment, cathodal stimulation is approximately six volts  
22 administered for approximately 0.4 millisecond. In the manner disclosed by these  
23 embodiments, as well as those alterations and modifications which can become obvious



1 upon the reading of this specification, a maximum membrane potential without activation  
2 is achieved in the first phase of stimulation.

3 **Figure 6** depicts an alternative preferred embodiment of biphasic stimulation  
4 wherein a first stimulation phase, comprising anodal stimulation **602**, is administered over  
5 period **604** with rising intensity level **606**. The ramp of rising intensity level **606** can be  
6 linear or non-linear, and the slope can vary. This anodal stimulation is immediately  
7 followed by a second stimulation phase comprising cathodal stimulation **608** of  
8 conventional intensity and duration. In alternative embodiments, anodal stimulation **602**:  
9 (1) rises to a maximum subthreshold amplitude less than three volts; (2) is of a duration of  
10 approximately two to eight milliseconds; and/or (3) is administered over 200 milliseconds  
11 post heart beat. In yet other alternative embodiments, cathodal stimulation **608** is: (1) of a  
12 short duration; (2) approximately 0.3 to 1.5 milliseconds; (3) of a high amplitude; (4) in  
13 the approximate range of three to twenty volts; and/or (5) of a duration less than 0.3  
14 milliseconds and at a voltage greater than twenty volts. In the manner disclosed by these  
15 embodiments, as well as those alterations and modifications which can become obvious  
16 upon the reading of this specification, a maximum membrane potential without activation  
17 is achieved in the first phase of stimulation.

18 **Figure 7** depicts biphasic electrical stimulation wherein a first stimulation phase,  
19 comprising series **702** of anodal pulses, is administered at amplitude **704**. In one  
20 embodiment, rest period **706** is of equal duration to stimulation period **708**, and is  
21 administered at baseline amplitude. In an alternative embodiment, rest period **706** is of a  
22 differing duration than stimulation period **708**, and is administered at baseline amplitude.  
23 Rest period **706** occurs after each stimulation period **708**, with the exception that a second

1 stimulation phase, comprising cathodal stimulation 710 of conventional intensity and  
2 duration, immediately follows the completion of series 702. In alternative embodiments:  
3 (1) the total charge transferred through series 702 of anodal stimulation is at the maximum  
4 subthreshold level; and/or (2) the first stimulation pulse of series 702 is administered over  
5 200 milliseconds post heart beat. In yet other alternative embodiments, cathodal  
6 stimulation 710 is: (1) of a short duration; (2) approximately 0.3 to 1.5 milliseconds; (3) of  
7 a high amplitude; (4) in the approximate range of three to twenty volts, and/or (5) of a  
8 duration less than 0.3 milliseconds and at a voltage greater than twenty volts.

9 **Figure 8** illustrates the practice of the present invention. Sensing is used to  
10 determine the existence of atrial fibrillation 802. Sensing can be direct or indirect. For  
11 example, direct sensing can be based on data from multiple atrial sensing electrodes. The  
12 sensing electrodes sense the cardiac activity as depicted by electrical signals. For example,  
13 as is known in the art, R-waves occur upon the depolarization of ventricular tissue and P-  
14 waves occur upon the depolarization of atrial tissue. By monitoring these electrical signals  
15 the control/timing circuit of the ICD can determine the rate and regularity of the patient's  
16 heart beat, and thereby determine whether the heart is undergoing arrhythmia. This  
17 determination can be made by determining the rate of the sensed R-waves and/or P-waves  
18 and comparing this determined rate against various reference rates.

19 Direct sensing can be based upon varying criteria; such as, but not limited to,  
20 primary rate, sudden onset, and stability. The sole criteria of a primary rate sensor is the  
21 heart rate. When applying the primary rate criteria, if the heart rate should exceed a  
22 predefined level, then treatment is begun. Sensing electronics set to sudden onset criteria  
23 ignore those changes which occur slowly, and initiate treatment when there is a sudden

1 change such as immediate paroxysmal arrhythmia. This type of criteria would thus  
2 discriminate against sinus tachycardia. Stability of rate can also be an important criteria.  
3 For example, treatment with a ventricular device would not be warranted for a fast rate  
4 that varies, here treatment with an atrial device would be indicated.

5 In alternative embodiments, sensing can be indirect. Indirect sensing can be based  
6 on any of various functional parameters such as arterial blood pressure, rate of the  
7 electrocardiogram deflections or the probability density function (pdf) of the  
8 electrocardiogram. While it has been known in the art to apply pdf to the global  
9 electrocardiogram and/or to the R wave, it has been unexpectedly discovered that pdf of  
10 the baseline is also indicated for the determination of atrial abnormalities. Here, the  
11 electrodes are specific to the atrium and data related to the R wave is canceled out. Thus,  
12 whether or not to administer treatment can also be affected by pdf monitoring of the time  
13 the signal spends around the baseline.

14 Lastly, to determine whether an arrhythmia comes from the atria or the ventricles, a  
15 test impulse(s) can be given to one chamber to see if capture occurs and perturbs the  
16 rhythm. For example, in a ventricular rhythm, an atrial test impulse can capture the  
17 atrium, but the ventricular rhythm will continue unchanged afterwards. In an atrial  
18 rhythm, (or Sinus rhythm), if the atrial test pulse captures, the timing of all subsequent  
19 beats is changed. To determine if a pulse captures, the baseline immediately after the beat  
20 can be examined to determine if it is different from zero (or from a baseline template). If  
21 so, the beat can be inferred to have captured. In addition, the pdf pattern of the rhythm can  
22 be shown to have changed, inferring capture.

23 Thus, in a preferred embodiment, sensing electronics are based upon multiple

1 criteria. In addition, the present invention envisions devices working in more than one  
2 chamber such that appropriate treatment can be administered to either the atrium or the  
3 ventricle in response to sensing electronics based upon a variety of criteria, including those  
4 described above as well as other criteria known to those skilled in the art.

5 If atrial fibrillation occurs, a baseline of cardiac activity or a template can be  
6 recorded 804. The template can be based on parameters such as electrocardiogram data,  
7 mechanical motion and/or probability density function data. In an alternative embodiment,  
8 the template is established after capture has occurred.

9 Pacing is initiated 806. In a preferred embodiment, stimulation is administered at  
10 threshold until capture has occurred, at which time stimulation is administered at a  
11 subthreshold level. In alternative embodiments, stimulation is: (1) initiated at threshold  
12 and remains at threshold; (2) initiated subthreshold and remains subthreshold; (3)  
13 conventional prior to capture and then biphasic; (4) biphasic prior to capture and then  
14 conventional or (5) biphasic throughout.

15 The atrium is monitored throughout this initial pacing period to determine the  
16 status of capture 808. Capture can be determined by multiple means. First, capture or the  
17 loss thereof, can be determined by monitoring cardiac rhythm. Loss of capture can result  
18 in a change in timing of the heart beat.

19 Second, capture or the loss thereof, can be determined through monitoring the  
20 previously described template. Where the template is established pre-stimulation, a  
21 change in the baseline signifies capture. Where the template is established after capture  
22 has occurred, a change in the template characteristics signifies loss of capture. The  
23 templates can be established and/or updated at any time.

1           Once capture occurs the stimulation protocol of the entrained sites is adjusted **810**.  
2           In a first embodiment, the stimulation rates of the entrained sites are slowed  
3           simultaneously, and then stopped. In a second embodiment, the spread of conduction is  
4           slowed. In a third embodiment, the stimulation speed is increased and stimulation is then  
5           stopped. In addition to adjusting stimulation rates upon the occurrence of capture, the  
6           stimulation protocol can also be adjusted such that (1) if stimulation of a conventional  
7           nature was administered prior to capture, biphasic stimulation is administered post-  
8           capture; (2) if biphasic stimulation was administered prior to capture, conventional  
9           stimulation is administered post-capture or (3) if biphasic stimulation was administered  
10          prior to capture, biphasic stimulation continues to be administered post-capture.

11          Having thus described the basic concept of the invention, it will be readily apparent  
12          to those skilled in the art that the foregoing detailed disclosure is intended to be presented  
13          by way of example only, and is not limiting. Various alterations, improvements and  
14          modifications will occur and are intended to those skilled in the art, but are not expressly  
15          stated herein. These modifications, alterations and improvements are intended to be  
16          suggested hereby, and within the scope of the invention. Further, the pacing pulses  
17          described in this specification are well within the capabilities of existing pacemaker  
18          electronics with appropriate programming. Accordingly, the invention is limited only by  
19          the following claims and equivalents thereto.

20

1 What is claimed is:

2 1. An apparatus for electrical cardiac pacing comprising:

3 means for sensing atrial fibrillation;

4 means for recording a baseline of cardiac activity;

5 means for stimulating the atrium using a pre-capture stimulation protocol;

6 means for determining status of capture; and

7 means for stimulating the atrium using a post-capture stimulation protocol;

8 wherein the pre-capture stimulation protocol and the post-capture stimulation  
9 protocol comprise a procedure, and wherein the procedure is selected from the group  
10 consisting of: pre-capture stimulation at threshold with post-capture stimulation at  
11 threshold, pre-capture stimulation subthreshold with post-capture stimulation  
12 subthreshold, and pre-capture stimulation at threshold with post-capture stimulation  
13 subthreshold.

14 2. The apparatus for electrical cardiac pacing of claim 1, wherein the procedure is  
15 selected from the group consisting of: biphasic stimulation post-capture, biphasic  
16 stimulation pre-capture, and biphasic stimulation pre-capture with biphasic stimulation  
17 post-capture.

18 3. The apparatus for electrical cardiac pacing of claim 2, further comprising:

19 at least two electrodes adapted for intravenous insertion into a patient; and

20 at least two electrodes adapted for placement in conjunction with cardiac tissue.

21 4. The apparatus for electrical cardiac pacing of claim 3, wherein at least one of  
22 the electrodes is adapted for placement in the right atrial appendage of a patient, wherein at  
23 least one of the electrodes is adapted for placement in the right atrial septum of the patient,

1 and wherein at least one of the electrodes is adapted for placement in the coronary sinus of  
2 the patient.

3 5. The apparatus for electrical cardiac pacing of claim 4, wherein at least one  
4 electrode is adapted for placement in the left free wall of the heart of the patient.

5 6. The apparatus for electrical cardiac pacing of claim 3, further comprising:  
6 independent generators associated with at least two of the electrodes.

7 7. The apparatus for electrical cardiac pacing of claim 3, further comprising:  
8 means for entraining the cardiac tissue in conjunction with each of the at least one  
9 electrodes separately; and

10 means for bringing the cardiac tissue in conjunction with each of the at least one  
11 electrodes to the same phase.

12 8. The apparatus for electrical cardiac pacing of claim 3, further comprising:  
13 means for sequencing the stimulation of the at least two electrodes to mimic a  
14 normal heart beat.

15 9. The apparatus for electrical cardiac pacing of claim 3, further comprising:  
16 sensing circuits connected to respective ones of the at least two electrodes, wherein  
17 the sensing circuits provide sensing data for determining the site of at least one atrial  
18 ectopic focus.

19 10. The apparatus for electrical cardiac pacing of claim 9, wherein the site of at  
20 least one atrial ectopic focus is determined by triangulating the sensing data.

21 11. The apparatus for electrical cardiac pacing of claim 2, wherein the means for  
22 determining status of capture comprises:

23 means for establishing a baseline of cardiac activity; and

1 means for monitoring the baseline for changes.

2 12. The apparatus for electrical cardiac pacing of claim 2, wherein the baseline of  
3 cardiac activity comprises a template of parameters selected from the group consisting of:  
4 electrocardiogram data, mechanical motion, and probability density function data.

5 13. The apparatus for electrical cardiac pacing of claim 2, wherein biphasic  
6 stimulation comprises:

7 defining a first stimulation phase with a first phase polarity, a first phase amplitude,  
8 a first phase shape and a first phase duration;

9 defining a second stimulation phase with a polarity opposite to the first phase  
10 polarity, a second phase amplitude, a second phase shape and a second phase duration; and

11 applying the first stimulation phase and the second stimulation phase in sequence  
12 to cardiac tissue.

13 14. The apparatus for electrical cardiac pacing of claim 13, wherein the first phase  
14 polarity is positive.

15 15. The apparatus for electrical cardiac pacing of claim 13, wherein the first phase  
16 amplitude is less than the second phase amplitude.

17 16. The apparatus for electrical cardiac pacing of claim 13, wherein the first phase  
18 amplitude is ramped from a baseline value to a second value.

19 17. The apparatus for electrical cardiac pacing of claim 16, wherein the second  
20 value is equal to the second phase amplitude.

21 18. The apparatus for electrical cardiac pacing of claim 16, wherein the second  
22 value is at a maximum subthreshold amplitude.

23 19. The apparatus for electrical cardiac pacing of claim 18, wherein the maximum



1 subthreshold amplitude is about 0.5 to 3.5 volts.

2 20. The apparatus for electrical cardiac pacing of claim 16, wherein the first phase  
3 duration is at least as long as the second phase duration.

4 21. The apparatus for electrical cardiac pacing of claim 16, wherein the first phase  
5 duration is about one to nine milliseconds.

6 22. The apparatus for electrical cardiac pacing of claim 16, wherein the second  
7 phase duration is about 0.2 to 0.9 milliseconds.

8 23. The apparatus for electrical cardiac pacing of claim 16, wherein the second  
9 phase amplitude is about two volts to twenty volts.

10 24. The apparatus for electrical cardiac pacing of claim 16, wherein the second  
11 phase duration is less than 0.3 milliseconds and the second phase amplitude is greater than  
12 20 volts.

13 25. The apparatus for electrical cardiac pacing of claim 13, wherein the first  
14 stimulation phase further comprises a series of stimulating pulses of a predetermined  
15 amplitude, polarity, and duration.

16 26. The apparatus for electrical cardiac pacing of claim 25, wherein the first  
17 stimulation phase further comprises a series of rest periods.

18 27. The apparatus for electrical cardiac pacing of claim 26, wherein applying the  
19 first stimulation phase further comprises applying a rest period of a baseline amplitude  
20 after at least one stimulating pulse.

21 28. The apparatus for electrical cardiac pacing of claim 27, wherein the rest period  
22 is of equal duration to the stimulating pulse.

23 29. The apparatus for electrical cardiac pacing of claim 13, wherein the first phase

1 amplitude is at a maximum subthreshold amplitude.

2 30. The apparatus for electrical cardiac pacing of claim 29, wherein the maximum  
3 subthreshold amplitude is about 0.5 to 3.5 volts.

4 31. The apparatus for electrical cardiac pacing of claim 13, wherein the first phase  
5 duration is at least as long as the second phase duration.

6 32. The apparatus for electrical cardiac pacing of claim 13, wherein the first phase  
7 duration is about one to nine milliseconds.

8 33. The apparatus for electrical cardiac pacing of claim 13, wherein the second  
9 phase duration is about 0.2 to 0.9 milliseconds.

10 34. The apparatus for electrical cardiac pacing of claim 13, wherein the second  
11 phase amplitude is about two to twenty volts.

12 35. The apparatus for electrical cardiac pacing of claim 13, wherein the second  
13 phase duration is less than 0.3 milliseconds and the second phase amplitude is greater than  
14 20 volts.

15 36. The apparatus for electrical cardiac pacing of claim 13, wherein the first  
16 stimulation phase is initiated greater than 200 milliseconds after heart beat.

17 37. The apparatus for electrical cardiac pacing of claim 2, wherein sensing atrial  
18 fibrillation comprises:

19 monitoring parameters selected from the group consisting of: arterial blood  
20 pressure, rate of electrocardiogram deflections, and probability density function of the  
21 electrocardiogram.

22 38. A method of electrical cardiac pacing comprising:  
23 sensing atrial fibrillation;

1           recording a baseline of cardiac activity;  
2           stimulating the atrium using a pre-capture stimulation protocol;  
3           determining status of capture; and  
4           stimulating the atrium using a post-capture stimulation protocol, wherein the pre-  
5       capture stimulation protocol and the post-capture stimulation protocol comprise a  
6       procedure and wherein the procedure is selected from the group consisting of pre-capture  
7       stimulation at threshold with post-capture stimulation at threshold, pre-capture stimulation  
8       subthreshold with post-capture stimulation subthreshold and pre-capture stimulation at  
9       threshold with post-capture stimulation subthreshold.

10           39. The method of electrical cardiac pacing of claim 38, wherein the procedure is  
11       further selected from the group consisting of conventional stimulation pre-capture with  
12       biphasic stimulation post-capture, biphasic stimulation pre-capture with conventional  
13       stimulation post-capture, and biphasic stimulation pre-capture with biphasic stimulation  
14       post-capture.

15           40. An apparatus for electrical cardiac pacing comprising:  
16           a plurality of electrodes adapted to be disposed proximate atrial tissue;  
17           a sense amplifier connected to at least one of the plurality of electrodes to sense  
18       atrial fibrillation;  
19           a memory in electrical communication with the sense amplifier, for recording a  
20       baseline of cardiac activity;  
21           an electrical stimulation driver, connected to at least one of the plurality of  
22       electrodes, to stimulate atrial tissue; and  
23           processor circuitry programmed to determine status of pacing capture;

1            wherein, in the event that atrial fibrillation is sensed, the electrical stimulation  
2 driver uses a pre-capture stimulation protocol,

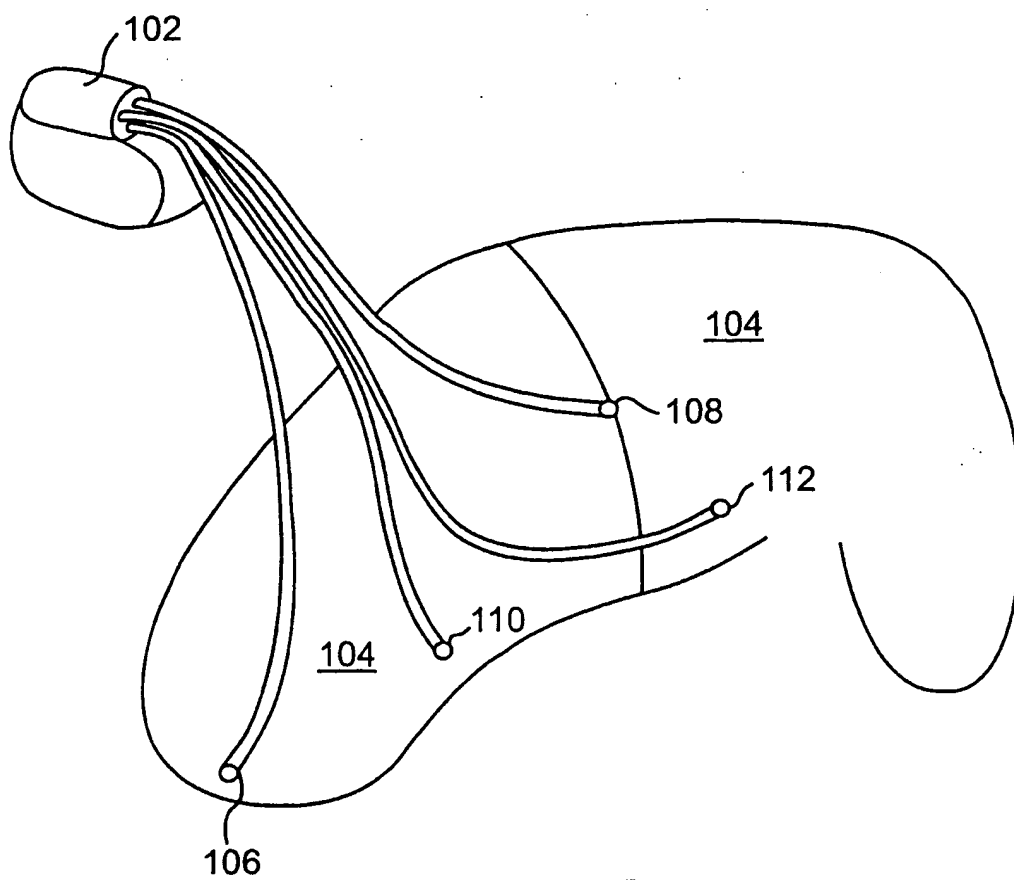
3            wherein, in the event that capture status is determined, the electrical stimulation  
4 driver uses a post-capture stimulation protocol, and

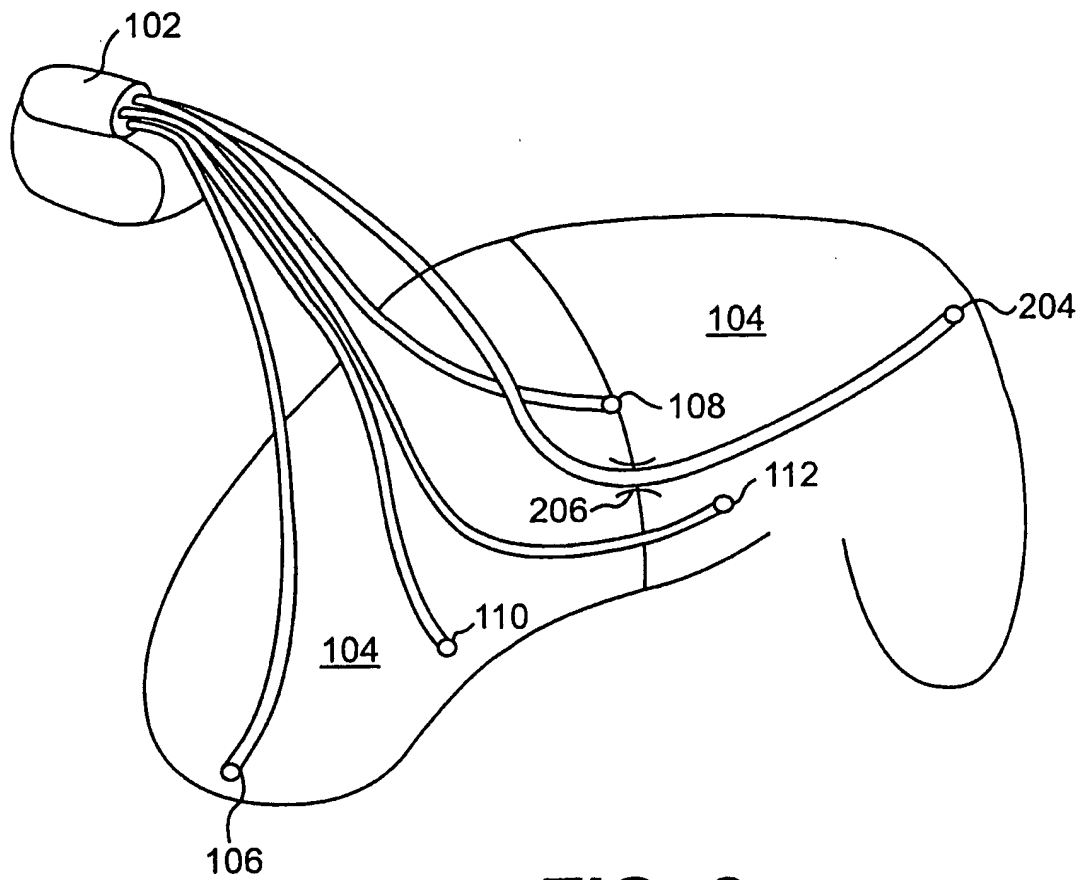
5            wherein the pre-capture stimulation protocol and the post-capture stimulation  
6 protocol comprise a procedure, and wherein the procedure is selected from the group  
7 consisting of: pre-capture stimulation at threshold with post-capture stimulation at  
8 threshold, pre-capture stimulation subthreshold with post-capture stimulation  
9 subthreshold, and pre-capture stimulation at threshold with post-capture stimulation  
10 subthreshold.

11           41. The apparatus for electrical cardiac pacing of claim 40, wherein the procedure  
12 uses biphasic stimulation post-capture.

13           42. The apparatus for electrical cardiac pacing of claim 40, wherein the procedure  
14 uses biphasic stimulation pre-capture.

15           43. The apparatus for electrical cardiac pacing of claim 40, wherein the procedure  
16 uses biphasic stimulation pre-capture with biphasic stimulation post-capture.  
17

**FIG. 1**

**FIG. 2**

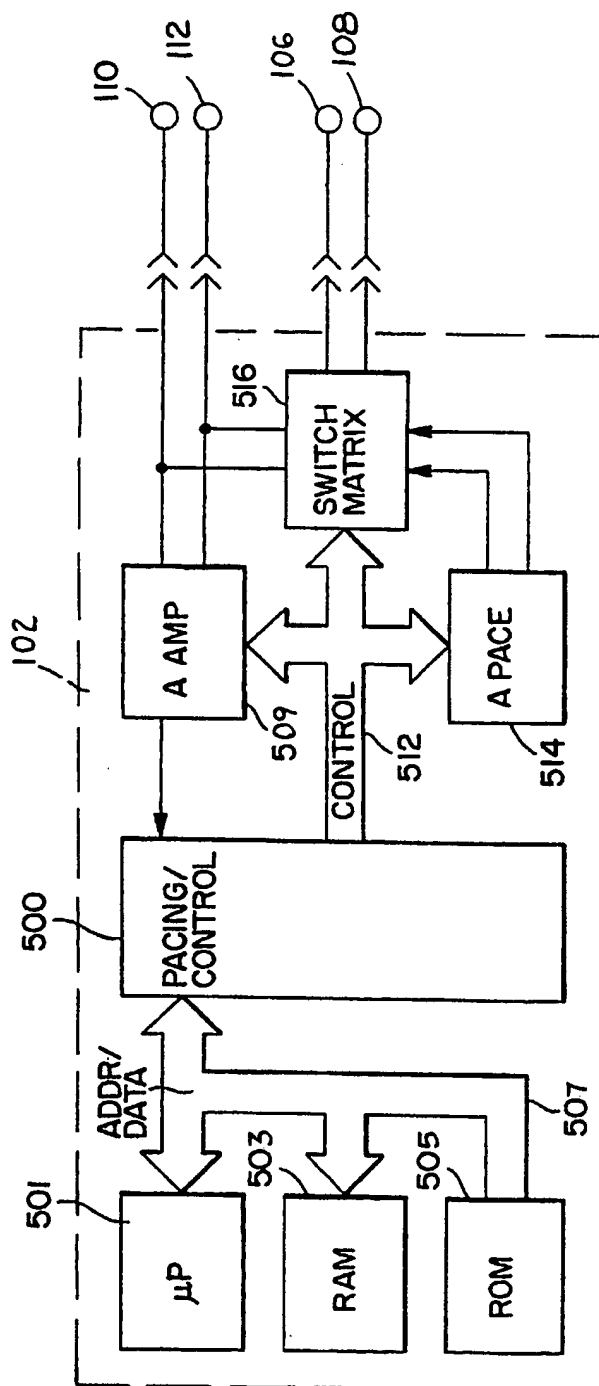
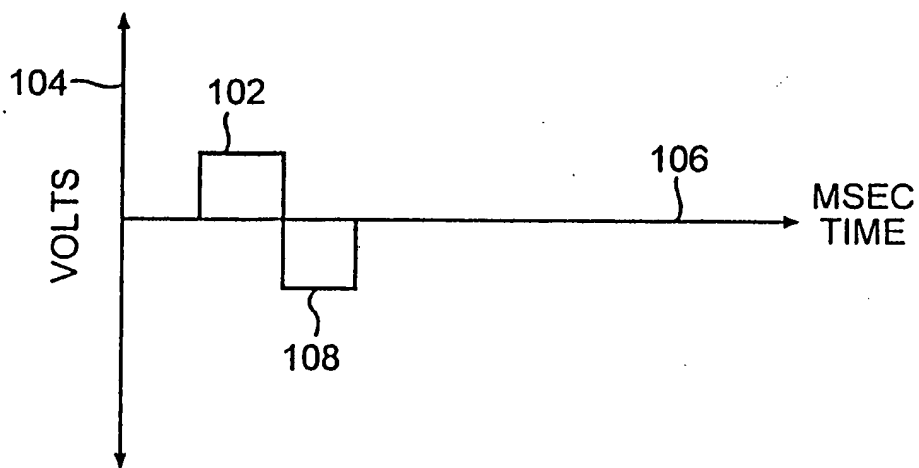
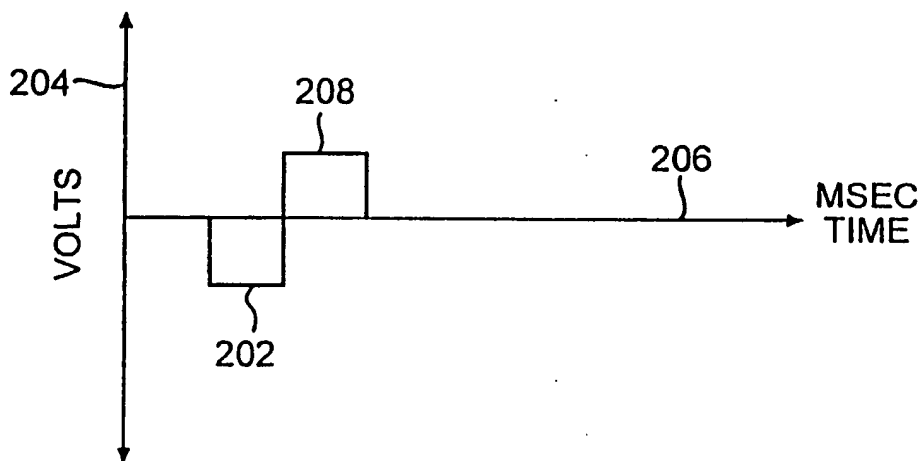
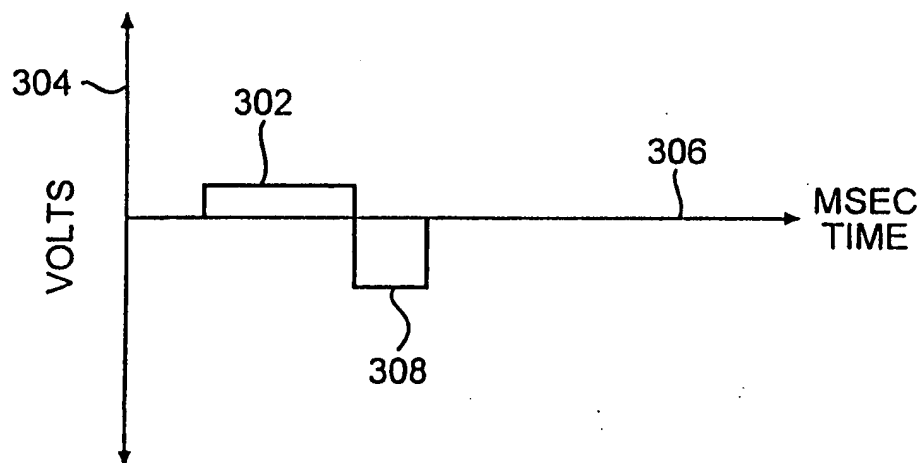
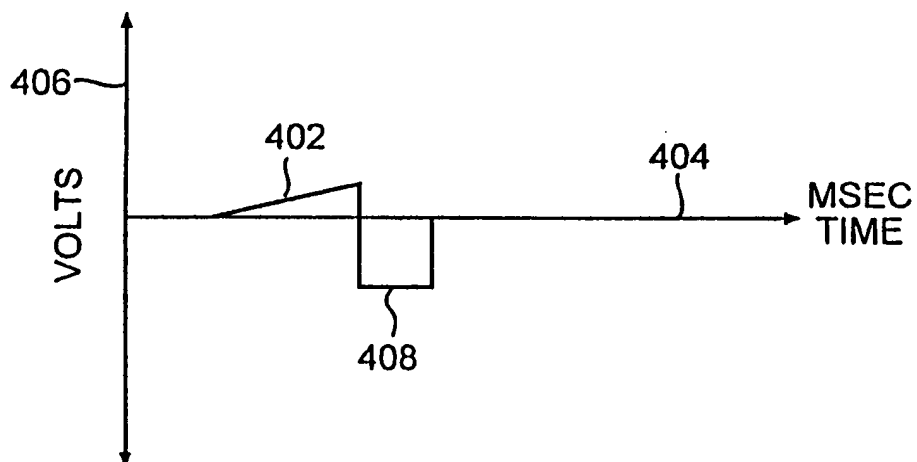
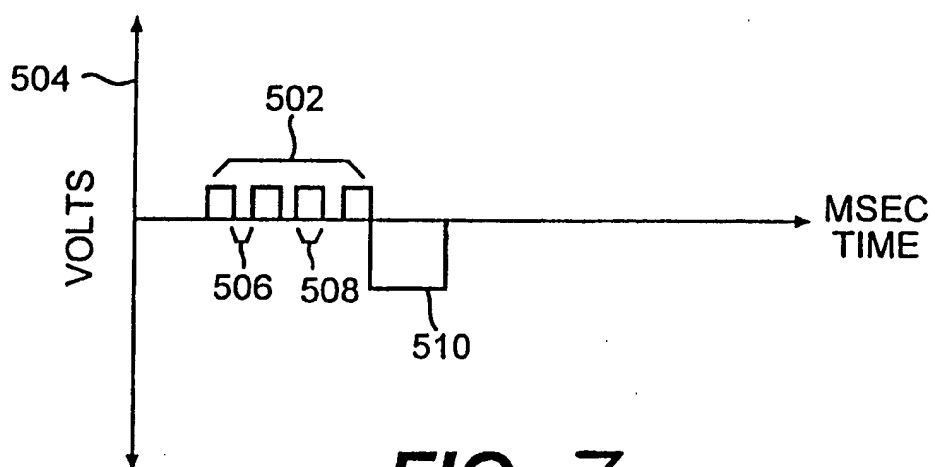


FIG. 2A

**FIG. 3****FIG. 4**



**FIG. 5****FIG. 6**

**FIG. 7**

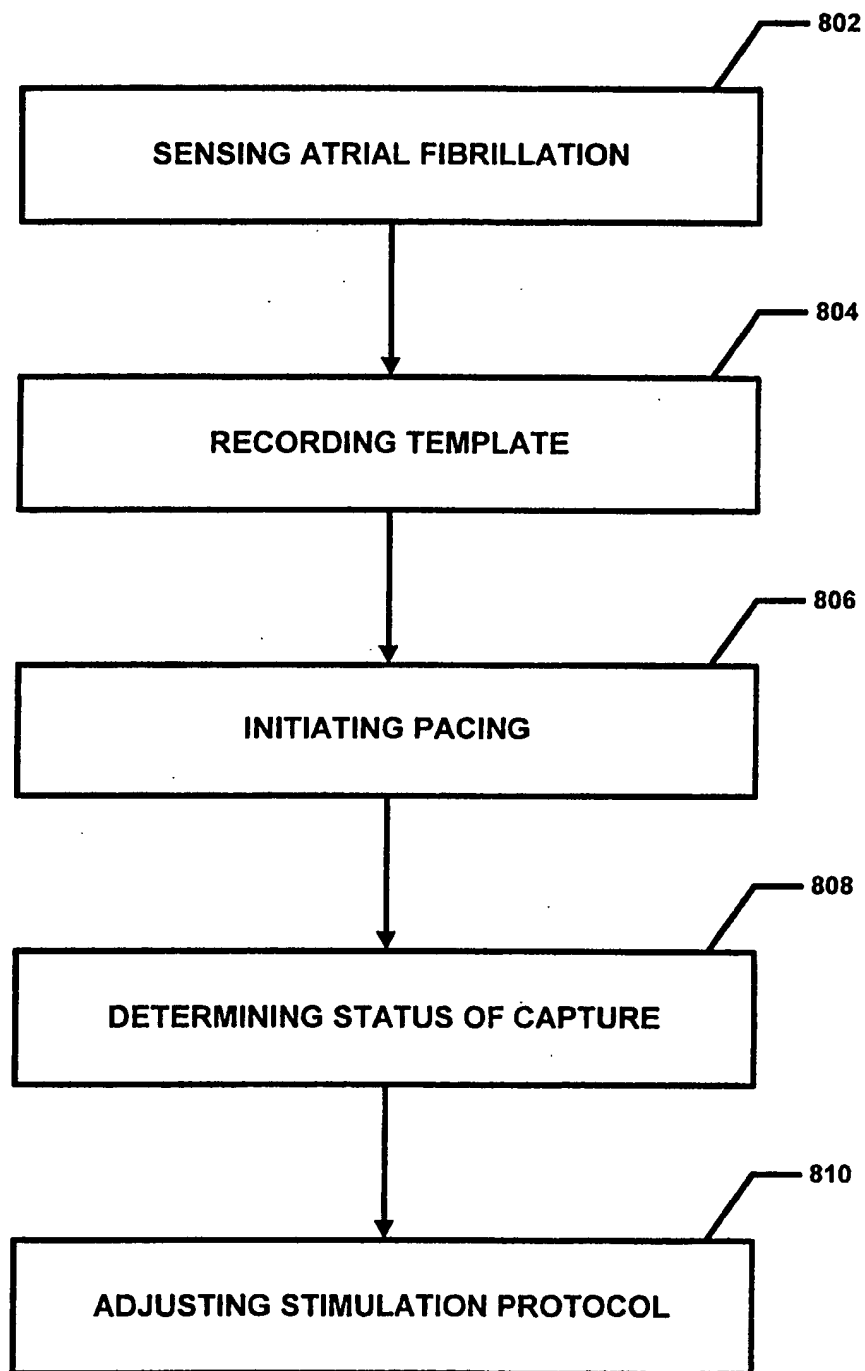


FIGURE 8

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/00777

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61N1/37 A61N1/368

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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A	US 5 735 876 A (KROLL KAI ET AL) 7 April 1998 (1998-04-07) the whole document	1-3,40
A	EP 0 850 662 A (MEDTRONIC INC) 1 July 1998 (1998-07-01) the whole document	1,3,40
A	US 5 855 594 A (VILLALTA DONALD L ET AL) 5 January 1999 (1999-01-05) the whole document	1,3,40
A	EP 0 813 889 A (MEDTRONIC INC) 29 December 1997 (1997-12-29) abstract	1,3,40
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

2 June 2000

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# INTERNATIONAL SEARCH REPORT

Inter. Appl. No.

PCT/US 00/00777

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